

Executive Summary

The audit focused on compliance with the Waste Management Licence, associated regulatory permissions and the Cumbria Service Delivery Plans.

The site was operated by CWM. The majority of requirements were being met although some issues were identified as requiring action from both Shanks Cumbria and CWM. The number of issues identified by risk significance is detailed in the table below;

Shanks Cumbria

0	Critical Issues
0	Significant Issues
0	Minor Issues (observations)

Cumbria Waste Management

0	Critical Issues
0	Significant Issues
0	Minor Issues (observations)

Shanks Waste Management
2011

Findings, Recommendations and Actions Detail

Ref / Risk	Finding & Risk Implication	Recommended actions/targets	Respons' person	Due Date	Management Comments & Action Plan
Waste Management Licence					
Hazardous Waste					
HWRC – Site SDP					
Branding (SDP 1.3; PMF 2, 43, 83)					
HWRC Staff Training (PMF 82)					

APPENDIX 1 - AUDIT REPORT

LEGAL COMPLIANCE

EA Inspections & External / Internal Audits / Inspections

Waste Management Licence

Hazardous Waste

Site Drainage

Site Diary / Record

Permit tipping issues

General Issues

Site Record Log / Other Site Records

Waste Movements

SDP REQUIREMENTS

HWRC – Site SDP

Code of Conduct (SDP 1.2; PMF 4, 5, 6, 7 & 8)

Complaints

Branding (SDP 1.3; PMF 2, 43, 83)

Recycling Rates (PMF 14)

Container Availability (PMF 38)

Staffing (PMF 41 & 44)

Litter Code of Practice (SDP 1.37; PMF 84)

Opening Hours (PMF 37)

Traffic Management (PMF 45)

Reactive Maintenance Plan (SDP 1.36; PMF 50)

Site Rules (PMF 74)

Welfare Facilities (PMF 78, 79 & 80)

HWRC Staff Training (PMF 82)

Spillages (PMF 85)

Date