



**safeguarding  
adults at risk**  
a cumbria partnership

**Annual Report**  
**2015**

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# A Message from the Chair

I am pleased to introduce our annual report (2014 -15), which not only identifies the progress made over the past year but also lays out our ambition for continued improvement over the next period.

As before we are seeing an increase in alerts and safeguarding investigations. This may not necessarily mean an increase in actual levels of safeguarding but is associated with our investment in and approach to raising awareness of safeguarding across the County through locality events and through well-organised media publicity campaigns. These have been well supported and led by Board Members.

In addition to adapting to the new legislative framework there is the challenge ahead for the Board and Safeguarding across the County of continuing austerity across the public sector, whilst demand and expectation rise.

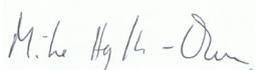
Our 2014 - 17 Strategic Plan has laid out our objectives over a three-year period and these will be updated this year to take account of new legislation and challenges.

The safeguarding Board is now a statutory strategic partnership and we need to ensure the Board is fully compliant with the Care Act and associated guidance. In most respects we have acted as though we were a statutory Board for the past five years. I have been the Independent Chair throughout that period, we have a three-year strategic plan, and partners fully support the work of the Board and ensure appropriate commitment and seniority of representation.

Whilst we are well established with an effective infrastructure to support the work of the Board and this has been critical in delivering our work programme, there continue to be clear priorities we must focus on over the coming period:

- We will continue to embed 'Making Safeguarding Personal' to ensure it underpins practice and strategic thinking.
- We will continue to develop and improve the Board's approach to quality assurance, strategy and policy.
- We must ensure we are a learning Board and will ensure lessons are learned across partner agencies following Safeguarding Adult Reviews.
- There is the new Role of Designated Adult Safeguarding Manager and we will take a keen interest in how this role develops both within the statutory partner agencies and collaboratively.

I would like to thank colleagues for their commitment and support with our work. I am particularly appreciative of the contribution from partner agencies to both chairing sub-groups and task-and-finish groups and contributing to their work programme. This work has been managed through the Operations Group ably chaired and led by my Deputy. Also thank you to Irene and her team who have also supported the Board and me personally whilst, keeping a strong eye on quality of service.



**Mike Evans**

Independent Chair



The Board is very interested in your views about this report – please do let me have feedback on [safeguardingteam@cumbria.gov.uk](mailto:safeguardingteam@cumbria.gov.uk)

# National Context

## The Care Act 2014 - safeguarding adults

The Care Act 2014 sets out a clear legal framework for how local authorities and other parts of the system should protect adults at risk of abuse or neglect.

Local authorities have new safeguarding duties. They must:

- **Lead a multi-agency local adult safeguarding system** that seeks to prevent abuse and neglect and stop it quickly when it happens
- **Make enquiries, or request others to make them**, when they think an adult with care and support needs may be at risk of abuse or neglect and they need to find out what action may be needed
- **Establish Safeguarding Adults Boards**, including the local authority, NHS and police, which will develop, share and implement a joint safeguarding strategy
- **Carry out Safeguarding Adults Reviews** when someone with care and support needs dies as a result of neglect or abuse and there is a concern that the local authority or its partners could have done more to protect them
- **Arrange for an independent advocate** to represent and support a person who is the subject of a safeguarding enquiry or review, if required.

Any relevant person or organisation must provide information to Safeguarding Adults Boards as requested.

## Role and duties of Safeguarding Adults Boards

### Overarching purpose

The overarching purpose of an SAB is to help and safeguard adults with care and support needs. It does this by:

- Assuring itself that local safeguarding arrangements are in place as defined by the Care Act 2014 and statutory guidance
- Assuring itself that safeguarding practice is person-centred and outcome-focused
- Working collaboratively to prevent abuse and neglect where possible
- Ensuring agencies and individuals give timely and proportionate responses when abuse or neglect have occurred
- Assuring itself that safeguarding practice is continuously improving and enhancing the quality of life of adults in its area.

The SAB must lead adult safeguarding arrangements across its locality and oversee and coordinate the effectiveness of the safeguarding work of its member and partner agencies. This will require the SAB to develop and actively promote a culture with its members, partners and the local community that recognises the values and principles contained in **'Making Safeguarding Personal'**. It should also concern itself with a range of issues which can contribute to the wellbeing of its community and the prevention of abuse and neglect, such as:

- The safety of people who use services in local health settings, including mental health
- The safety of adults with care and support needs living in social housing
- Effective interventions with adults who self-neglect, for whatever reason
- The quality of local care and support services
- The effectiveness of prisons in safeguarding offenders
- Making connections between adult safeguarding and domestic abuse.

## Core duties

SABs have three core duties. They must:

- Develop and publish a strategic plan setting out how they will meet their objectives and how their member and partner agencies will contribute
- Publish an annual report detailing how effective their work has been
- Commission safeguarding adults reviews (SARs) for any cases which meet the criteria for these.

In order to meet their core duties and overarching objective, SABs will require information including general and personal data. Personal data is needed to undertake, for example, safeguarding adults reviews and general data is needed to identify trends and patterns in safeguarding activity, abuse and neglect. The grounds on which SABs can require information to be supplied to them are specified in Section 45 of the Care Act. Legal advice may need to be sought on how to enforce this power effectively.

The six safeguarding principles

- **Empowerment:** people being supported and encouraged to make their own decisions and give informed consent
- **Prevention:** it is better to take action before harm occurs
- **Proportionality:** the least intrusive response appropriate to the risk presented
- **Protection:** support and representation for those in greatest need
- **Partnership:** local solutions through services working with their communities – communities have a part to play in preventing, detecting and reporting neglect and abuse
- **Accountability** and transparency in safeguarding practice

# In Cumbria

## Another busy and productive year

The Board and the Operational Group have met on a quarterly basis. Meetings have been well attended with a high level of commitment to the work of the Board by all partner agencies. We were very happy to welcome the Governor of HM Prison, Haverigg as a new member of the Board.

Over the year the Board received presentations from:

- Dave Eldon from the Cumbria Partnership Foundation Trust, on ‘The Impact of Supreme Court Judgement in relation to DoLs (West Cheshire)’–
- Suicide Prevention: Presentation by Jane Mathieson, Public Health Consultant & Venetia Young, GP Safeguarding Lead
- Fiona Musgrave presented of the Local Safeguarding Children’s Board (LSCB) Board Annual Report 2013/14
- Cumbria Clinical Commissioning Group presented their first Safeguarding Annual Report
- Care Quality Commission shared their new Methodology for Care Home Inspections

## Key developments

- A template for an Investigator’s Report was approved by the Board for use by all staff across all agencies following decisions at Strategy Meetings. The reports will be shared Planning Meetings and will be an aid to decision making and accurate recording. The report template is available on the website.
- New guidance for conducting Safeguarding Adults Reviews was approved. The new guidance reflects the requirements of the Care Act 2014 and can be accessed via the website.
- There have been a number of significant developments aimed at improving quality in care home settings :
  - A strategic, multi-agency partnership , chaired by the CCG is addressing several areas of concerns, including Leadership and Management, Workforce, Environment, skills and competencies and safeguarding
- Early Indicators of Concern in Care Settings
- In response to the issues of abuse and neglect in care home settings, the SAB has developed guidance to support practitioners who visit services as part of their professional role. This guidance enables practitioners to recognize early indicators, discuss and share their concerns and take action to reduce risks and prevent abuse and neglect.
- The guidance is based on research done by Hull University which comprised a series of interviews and focus groups with practitioners who had been regular visitors to residential and nursing homes where abuse had subsequently been found to have occurred. The research enabled the identification of over 90 early indicators of concern. Key themes were identified which highlighted aspects of services which contributed to the risks of abuse and neglect.
- The guidance was published in booklet form in March 2015. This booklet provides practitioners with information about all the early indicators identified and a matrix for recording and reflecting upon their concerns, enabling them to discuss their concerns with others and take action to protect people from abuse and neglect.
- The booklet and the matrix are also available for download from the website at [www.cumbria.gov.uk/safe](http://www.cumbria.gov.uk/safe)
- **Making Safeguarding Personal**  
A project aimed at implementing the principles of Making Safeguarding Personal was commissioned by the local authority. A practitioners’ toolkit, information leaflet for service users are being launched across the social work teams. The work is due to be completed by the end of December 2015.

- A publicity campaign launched late April/early May 2014. This was a five week campaign, each week covering a different aspect of abuse and neglect. Articles appeared in local papers and on the radio and members of the Board made themselves available to respond to enquiries from the media following press releases. The main purpose of the publicity campaign was to raise awareness about the abuse and neglect of adults at risk across Cumbria with a view to increasing the number of concerns being reported by members of the public.

It was agreed that future campaigns would focus on work in communities.

- **Independent Chair Feedback**

Mike Evans reported back on meetings of the Independent Chairs Network each quarter.

- Richard Parry, Director Health and Care Services, Cumbria County Council left the council in December 2014 to take up a new post as Director of Commissioning, Public Health & Adult Social Care in Kirklees. The Board thanked Richard for his support and wished him well in his future role.

- **CSAB Infrastructure**

The Board held a development day to address the implications of the Care Act 2014 which resulted in a change to the infrastructure and terms of reference.

- o Executive Board to be known as Cumbria Safeguarding Adults Board (CSAB) Membership to include Chief Executives and/or nominated lead Directors representing:

- Cumbria County Council
- Cumbria Partnership Foundation Trust
- North Cumbria University Hospitals Trust
- Morecambe Bay University Hospitals Trust
- NHS Cumbria
- Cumbria Constabulary
- Cumbria Probation

- It was agreed that the CSAB should advertise for a Lay Member of the Board
- Operations Group will work to Annual Work Programme which reflects the aims and objectives of the Strategic Plan
- It was agreed that the Board needs to engage with housing providers across the county.
- The Board will develop a Memorandum of Understanding/Letter of Agreement that should be signed by partner agencies, not by individuals
- Membership of Board agreed as statutory agencies and will include HMP Haverigg, CQC and Public Health will attend as and when required.
- Membership of Operational Group will consist of a Core Group with other members to be called upon when appropriate, and reference groups for voluntary organisations/providers.
- Operational Group will be supported in its work by 5 key sub-groups and task and finish groups as required. The key sub-groups are:
  - o Case Review Sub-Group
  - o Performance & Quality Assurance
  - o Dignity and Prevention
  - o Learning & Development
  - o Communication and Engagement

## Pan Lancashire and Cumbria Safeguarding Adults Boards Procedures Manual

- The Pan Lancashire and Cumbria Policy and Procedures Manual, was updated in March 2015 to reflect the requirements of the Care Act 2014 following weeks of work with colleagues in Lancashire, Blackpool and Blackburn with Darwen and Tri-X, the host organisation. The manual is designed to support organisations and staff working with, or in contact with adults at risk of abuse or Neglect across the 4 SAB areas.
- This framework provides the scaffolding for the work of the Pan Lancashire and Cumbria Safeguarding Adult Boards
- Tri-X provide automatic updates as legislation changes and the Pan Lancashire and Cumbria partnership have the opportunity to add to or amend the manual at 6 monthly intervals
- The manual can be accessed at [www.cumbria.gov.uk/safe](http://www.cumbria.gov.uk/safe)

## Reports from Sub-Groups

### Policy and Procedures

Documents published on the website this year include:

- Pan Lancashire and Cumbria Procedures Manual, updated to reflect the Care Act 2014. See
- Guidance Papers covering the following topics:
  - o Pressure Ulcers Guidance was reviewed and updated
  - o The guidance for conducting Safeguarding Adults Reviews was updated to reflect the requirements of the Care Act 2014
  - o A Hints and Tips document for those reporting alerts was approved and published on the website
  - o The Early Indicators, practitioners guide was published on the website and launched at a series of multi-agency events across the county

## Learning and Development

The Learning and Development group is a sub group of the Cumbria Safeguarding Adults Board and has recently been reconstituted. The membership includes identified leads for ensuring safeguarding is part of workforce development across partner agencies including Health and Care Services Cumbria County Council, NHS, Police, and the voluntary, independent and private sectors.

It acts on behalf of the Board to enable partner organisations across Cumbria to understand and meet their responsibilities in ensuring that carers, staff, managers and volunteers are equipped with the necessary skills and knowledge so that they are competent to protect and safeguard adults at risk of abuse in their care. It will also ensure that the six principles of Safeguarding **Empowerment-Prevention-Proportionality-Protection-Partnership** and **Accountability** are embedded and reflected in all learning activities.

The role and function of the group is guided and defined by the following documents/policy/legislative drivers

### No Secrets (Dept of Health 2000)

Defines the responsibilities of NHS and Local Authority to work together to constitute Adult Safeguarding Boards and agree and develop policies and procedures to protect adults at risk of abuse.

## **Association of Directors of Adult Social Services (ADASS) - Standards for Safeguarding (2005)**

This document laid out 11 standards for good practice in safeguarding work. The relevant standards for Learning and Development are described in Standard 5.

## **Cumbria Safeguarding Adults Board multi agency Policy and Procedure**

Key local safeguarding policy document that all partner agencies work to in Cumbria.

## **National Competency Framework for Safeguarding Adults (2010)**

This framework provides a benchmark against which to develop a consistent approach to safeguarding practice across all agencies and professions.

## **Care Quality Commission (CQC)**

The regulatory body who inspect care services against a range of standards and outcomes. They will inspect services to ensure that they are keeping people who use services safe. (Regulations 12 and 13).

## **Care Act 2014**

The Care Act 2014 sets out a clear legal framework for how local authorities and other parts of the system should protect adults at risk of abuse or neglect. Safeguarding Adults Boards are now on a statutory footing with duties placed on partner organisations to co-operate, share information and where necessary carry out Safeguarding Adults Reviews.

The policy framework outlined above has guided the L and D Group to produce a “**Learning and Development Strategy**” and a delivery plan to support that strategy. This is presently being refreshed and will be published later this year. This will enable/assist partner agencies in meeting/achieving their responsibilities and meeting the learning and development needs of their workforce.

The **Learning and Development Strategy** is based on the National Competency Framework for Safeguarding Adults and this defines Safeguarding roles (Alert/Responder/Safeguarding process management/Strategic Leadership) as described in the Cumbria Multi Agency Policy/Procedures and the competencies necessary to fulfil the respective roles.

The Learning and Development delivery plan 2015-16 outlines the Learning and development activities that will take place during the coming year to provide the necessary supporting knowledge and information to support staff in meeting the competencies commensurate with the role they perform in safeguarding.

To assist in the process the Cumbria SAB Learning and Development sub group in collaboration with the Pan Cumbria/Lancashire Safeguarding Adults boards has produced a **Safeguarding Adults Passport** which has been approved and adopted by the Cumbria Safeguarding Adults Executive Board. The Passport is a tool for individual members of staff to evidence their competence in safeguarding and provides a process for partner organisations to ensure and assure themselves that staff are competent in the respective safeguarding roles they perform. It also provides demonstrable evidence of learning and development of staff for those services, registered with the Care Quality Commission that are inspected under CQC Outcomes relating to Safeguarding but in particular **Regulation 13: Safeguarding Service users from abuse and improper treatment.**

The L and D group also oversees Safeguarding Adults Learning and Development activities to ensure that all learning and development activities will:

- Be based on current, evidence-based knowledge and research
- Promote a multi-agency approach to safeguarding incorporating relevant legislation
- Reflect anti-oppressive practice through its content and delivery
- Have learning outcomes that are competency based, which are clearly linked to the safeguarding roles and responsibilities that are set out in the Learning and Development Strategy and the CSAB Safeguarding Passport
- Have systems to provide accurate data on attendances
- Be evaluated, and findings presented to the Learning and Development Sub-group.

# Safeguarding Adults Reviews

## Case Review Sub-Group

This group was previously known as the Serious Case Review sub-group, however the name has now been changed to reflect the new Guidance relating to this area of work, which was published in November 2014. The impact of these changes means that the Board now has the ability to commission four different types of review. These are –

- **A Review and Analysis of records** - This stage of Review is most similar to the previous Serious Case review and requires all known/involved agencies in a referred case to complete an Internal Management Report (IMR) to critically appraise their own practice and identify any learning points from their agency. These are then considered by a review panel and wider learning can be cascaded across the County to ensure best practice is shared widely and not limited to the area where the referral has originated from.
- **Significant Incident Learning Event** - This is where key professionals can be invited to a full or half day event to examine practice, using a chronology of events, and again identify the learning.
- **Peer Reviews** - The sub-group can request that an individual from a neighbouring Safeguarding Adults Board reviews a particular instance of multi-agency working to provide an impartial view.
- **Individual Agency review** - The Board can request individual agencies to look at a particular case that involves safeguarding issues and report back, regardless of whether they have already completed an internal review according to their own procedures. Any review commissioned by the Board will have a particular focus around safeguarding issues.

The purpose of commissioning any type of review is to identify learning from a case, review the effectiveness of single agency and multi-agency practice and improve practice by sharing learning.

The mandatory criteria for undertaking a review is when an adult in Cumbria dies as a result of abuse or neglect, whether known or suspected and there is concern that partner agencies could have worked more effectively to protect the adult at risk. A review can also take place if the adult is still alive but has experienced serious abuse or neglect.

In Cumbria, there are currently two full Review and Analysis of Records processes being undertaken. One is in relation to a particular individual and the other is in respect of a high profile situation in a County care home. Both of these reviews are scheduled to be completed before the end of 2015 and will involve contributions from family members.

In addition, the Sub-Group has also commissioned three separate Significant Incident Learning Events. Two of these have already taken place with feedback being very positive from those staff who attended. Learning point action plans are drawn up as a result of these events and the group is responsible for monitoring progress against these recommendations. The third learning event will take place in the summer 2015.

The group is meeting more frequently than last year due to the ongoing level of work requiring regular monitoring, we therefore aim to meet every 4-6 weeks. This means that we are also able to consider any new potential referrals in a timely fashion. The group reports its progress to every Operational Group meeting.

**Sarah Ward - Chair of the Case Review Sub-Group, National Probation Service**

# Reports from Partner Agencies

## Health and Care Services, Cumbria County Council

Safeguarding Adults Activity 2014-15

For the full end of year report go to [www.cumbria.gov.uk/safe](http://www.cumbria.gov.uk/safe)

### Safeguarding Adults Alerts

	2013-14	2014-15	% change
North	813	955	+17%
South	597	670	+12%
West	541	724	+34%
CUMBRIA	1952	2349	+20%

Another significant increase in the number of safeguarding alerts being reported but it ranges from a 9% increase in the West to a 58% increase in the North

### Referrals

	2013-14	2014-15	All England ave.
CUMBRIA	30% of all alerts	585 30% of all alerts	30%

### Source of referrals

Cumbria	2013-14	2014-15
Social Care Staff	48%	63%
Health Care Staff	15%	21%
Police	6%	4%
Self referrals	1%	1%

### Nature of alleged abuse

Cumbria	2013-14 % of total	2014-15 % of total	All England ave.
Physical	37	48	27%
Sexual	8	4	5%
Psychological	9	12	16%
Financial	14	13	18%
Neglect	22	25	29%
Discriminatory	2	0.25	1%
Institutional	7	4	4%

## Location where alleged abuse took place

Cumbria	2013-14 % of total	2014-15 % of total	All England ave.
Own home	25	25	41
Care home	48	47	36
Health setting	5	3	6
Supported accommodation	7	6	n/a

## Case conclusion

Cumbria	2012-13 % of total	2014-15 % of total
Inconclusive	18	15
Partially substantiated	13	12
Substantiated	47	47
Unsubstantiated	20	23

## Results of action taken to support management of risk

No further action under safeguarding	35%
Action taken-Risk reduced	35%
Action Taken-Risk remains	5%
Action taken-Risk removed	25%

## Number of concluded referrals where the individual was assessed as lacking capacity

Lacking capacity	56%
Not lacking capacity	44%

## Primary support reason of individual subject to safeguarding alert

Physical support	27%
Memory and cognition	11%
Sensory	1.6%
Learning Disability	18%
Mental health	6%
Social support	2%

- The total number of safeguarding alerts reported to the local authority in 2014-15 was 2349.
- This is an increase of 20% on last year.
- The conversion rate of alert to safeguarding investigation remains around 30% in line with the national average
- The incidence of physical abuse is significantly higher than the all England average, standing at 48% of the total and compared with the national picture of 27%
- Emotional and financial abuse are slightly below the all England average
- Neglect and risen when compared to last year....up to 25% of all cases.... but is just below the all England average of 29%
- Most alerts continue to be in relation to people living in a care home setting...47%...the all England average stands at around 36%

New guidance has been published by the Health and Social Care Information Centre (HSCIC) which outlines the type of information that the local authority is required to report.

Locality SAMs (Safeguarding Adults Managers) have started to oversee decision making at key stages in all Safeguarding Adults interventions with a view to improving and strengthening practice in this important area of work.

### **Making Safeguarding Personal**

"Dignity in Dementia" have been commissioned to help implement the practices and principles of Making Safeguarding Personal. The essence of this means that safeguarding should be person led and outcome focused. It should engage the person in a conversation about how best to respond to their safeguarding situation in a way that enhances involvement, choice and control as well as improving quality of life, wellbeing and safety. Work has begun as outlined below and should be completed by the end of October 2015.

- Creation of the 'Cumbria Making Safeguarding Personal Practitioner Toolkit' for use by social work teams
- Promoting the 'Making Safeguarding Personal Practitioner Toolkit' at team meetings across the county
- Create information leaflets for adults involved in safeguarding
- Liaise with the County Council Systems Development Team to ensure that we can capture information about people's views and wishes

### **Safeguarding Adults Training 2014-5**

During 2014-15 the local authority commissioned the following courses:

- Chairing Safeguarding Adults Meetings x 3 (42 practitioners attended)
- Minuting Safeguarding Adults Meetings x 2 (31 practitioners attended)
- Safeguarding Adults Investigation x 4 (53 practitioners attended)

Some comments from participants:

*"More confident and clear about my role to challenge, and the role others should play"*

*"Excellent, factual, professional, sensitive"*

*"Think more broadly about legal framework and options available for individuals"*

*"Chairing course and booklet of real benefit"*

**Irene Cooper, Safeguarding Adults Service Manager  
Cumbria County Council Health and Care Services**

## Morecambe Bay University Hospitals NHS Trust

At University Hospitals of Morecambe Bay we remain committed to ensure that Safeguarding remains high on the Trusts agenda, and are striving hard to integrate safeguarding both children and adults.

A comprehensive Governance structure has been developed to support the UHMB NHS FT ethos, 'safeguarding is everyone's business'. This structure supports and ensures that all staff across the organisation understands their responsibility and accountability.

A new Named Nurse Safeguarding Adults was appointed for the Trust in April 2014. The Named Nurse facilitates a full day workshop for all the Trust's registered professionals. This reflects new legislation and implementation of the new Care Act, 2014, changes within the MCA/DoLS case law, raising awareness of services and resources available locally for individuals with a diagnosed learning disability. The session aims to also embed into all areas within the Trust that Safeguarding is Everybody's Business. By June 2015, 87% of staff had already attended Level 2 safeguarding adult's workshop.

As direct reflection of the impact of the training being delivered, we have seen a good increase in the number of reported Patient Safety Incidents, and referrals into the Local Authority where abuse or neglect has been identified. Also, we have seen a huge number of Deprivation of Liberty Safeguard applications being made, to ensure the needs of the most vulnerable within our care are being met.

## North Cumbria University Hospitals Trust

North Cumbria University Hospitals Trust are fully committed to meeting our Safeguarding Adults requirements under the Care Act and are a key partner to the Cumbria Safeguarding Adults Board. As such the Trust is represented on all sub groups of the Board. In partnership with the Office of the Police and Crime Commissioner we have appointed an Independent Domestic and Sexual Violence Advisor. This crucial new post will provide an effective front line service to meet the needs of patients and staff who are victims of domestic and/or sexual violence.

Monthly meetings are held within the safeguarding team. The Safeguarding Board meet on a quarterly basis, this is chaired by the Executive Director of Nursing and Midwifery who in turn reports to the Safety and Quality Committee and the Trust Board. An action plan is formulated and individual members of the Board are assigned the responsibility of ensuring these actions are completed.

**Daniel McAllister, Named Nurse Safeguarding Adults**

## HMP Haverigg

The safeguarding of any vulnerable person within a custodial environment remains a crucial element of the Offender management Unit. We continue to highlight any vulnerable person on arrival into custody and refer to necessary agencies such as Safer Custody and the Healthcare department who work alongside each other and ensure that daily meetings are held to discuss those prisoners that fall under the provision of Safeguarding. We are represented on the Adult Safeguarding Executive Board and Operational Group and have staff contributing to task and finish groups as required. Despite the many ongoing changes within the Offender management Unit the staff remain committed to engaging with all internal and external partners in relation to the Safeguarding of adults.

**Keely Woodburn, Head of OMU**

## **National Probation Service**

The last 12 months have been a time of significant change for probation staff and the National Probation Service (NPS) has spent much of this time stabilising the new organisation and ensuring staff have been supported to continue to manage high risk offenders safely and within many new guidelines and policies.

Safeguarding adults remains a core task for staff and by continuing to prioritise this area of work, we have been able to maintain an agency presence at the Executive Board and the Operational Group. Our representative on the Operational group is also our designated lead manager for Safeguarding and his role is to promote good practice amongst our staff group and engage in any training, safeguarding adult reviews and disseminate learning.

As the NPS continues to develop as an organisation, I am sure the role of Safeguarding Adults will continue to be a focus of our work and we look forward to continuing to work in partnership with the other agencies of the Board.

**Sarah Ward - Head of Cumbria, NPS**

## **Cumbria Police**

Cumbria Police are committed to working with other professionals and carers to provide the necessary support to vulnerable adults living in our communities. Where police attend incidents that highlight vulnerable people are at risk specialist officers are tasked with dealing with the issues in a collaborative way with partners.

Between the 1st April 2014 and the 31st March 2015 7300 referrals were made by Cumbria Police to other agencies. The majority (4904) related to mental health issues. Others included physical abuse (427), financial abuse (165), neglect (108) Honour Based Violence (2) and sexual abuse (201).

Cumbria Police attended a number of strategy meetings held to discuss the safeguarding of vulnerable adults. Where criminal offences were identified investigations took place and a number of individuals were prosecuted or appropriately dealt with by an out of court disposal.

**Cath Thundercloud Detective Superintendent**  
**Public Protection and Crime Operations Cumbria Constabulary**

## **Cumbria Partnership NHS Foundation Trust**

Cumbria Partnership NHS Foundation Trust remains committed to ensuring the adults we care for are protected from abuse and neglect, and enabled to live as independently as possible. The Trust's organisational structure, reporting lines, learning and development strategy, policies and guidance continue to reflect this commitment to ensure that we effectively safeguard adults at risk.

All staff are advised of their responsibility for recognising and responding to allegations of abuse by ensuring that they discuss their concerns with their line manager; raise appropriate alerts with consent to the local authority, and comply with the Trusts internal safeguarding and incident reporting mechanisms.

All staff are expected to act in accordance with the CPFT Safeguarding policy and the CSAB Pan Lancs and Cumbria Multi-agency procedures. The Trust holds membership at all levels of the CSAB groups and the Safeguarding team contribute to all requisite action plans and ensure that all adult requirements are integrated into the Trusts overall approach to service provision and service development. Safeguarding is embedded in the Trust's Quality and Nursing Structure.

During 14-15 CPFT safeguarding team have been actively involved in the Early Indicator Framework awareness campaign and have been working with practitioners to ensure that they have an understating of this framework. The Trust is also ensuring staff are aware of the Adult safeguarding passport which forms part of the CSAB Pan Lancs and Cumbria Multi-agency procedures, recognising safeguarding competencies.

The Trust has implemented a robust clinical governance framework across all the care groups, which meets monthly where safeguarding is a standing agenda item. Quality and safety leads work closely with the Safeguarding team to ensure early identification of concerns/themes relating to potential abuse and learning from safeguarding adult reviews. CPFT are developing a safeguarding dashboard which will allow early identification of areas/incidents where more support may be needed in terms of safeguarding and improved outcomes for adults at risk.

The Trust has ensured that representation from our adult services attend the MARAC meetings as a priority across all the care groups, to ensure the risk to adults from domestic violence is responded to and a number of staff have now completed the Domestic Violence champion training.

CPFT safeguarding team are working closely with colleagues in Adult Social care to ensure the reporting and investigation of safeguarding alerts within mental health services are managed in a timely manner. CPFT and Adult social care will be co-delivering awareness sessions to staff outlining key priorities for staff.

The Trusts Safeguarding Committee reports to the Trust Board via the Quality and Safety Committee, the Operational Senior Management Team and CSAB and is committed to effective partnership working.

**Alison Brown**  
Deputy Director of Quality and Nursing

## **Cumbria CCG**

Over the last year the CCG have been working closely with Cumbria County Council and other partners to develop a robust approach to addressing concerns in the Care Home sector. This is in response to concerns which have been discussed at CSAB. There are increasing in the number of safeguarding incidents in Care Home setting and investigations suggest that these are often due to low staffing levels and staff training.

The next phase of this work is to engage with providers to develop positive relationships which will ensure providers are aware of how to access support and share good practice.

The CCG have supported the work on the Early Indicators practitioner's guide which has now been launched across the County. This guide helps practitioners recognise when an establishment needs support so that early intervention can be offered.

The CCG have been closely involved with the development of Sexual Assault Support Services which will be available soon in Penrith. Currently recruitment is underway. These services will support victims of sexual assault and domestic violence. The CCG's GP Lead for Adult Safeguarding is supporting this work.

The CCG now has a safeguarding adults GP lead in post who works with the safeguarding leads in the 6 localities to ensure that Safeguarding Adults work is given a high profile in practices and that GPs are adequately trained and supported to raise concerns. There has been a focus on support for working age adults to ensure that safeguarding is being considered for all vulnerable groups. There have been some staffing changes in the CCG; however Safeguarding Adults remains the responsibility of the Lead Nurse supported by the Quality and Safety Team. The Clinical Quality and Safety Manager is now responsible for operational aspects of Adult safeguarding work.

Changes in the way the CCG monitors training have led to improvements in the percentage of staff who have completed level one training and the organisation is consistently over 95% compliant with new staff completing training as soon as practically possible. Other staff are offered training relative to their roles.

**Russell Thompson**  
Clinical Quality & Safety Manager

## **Strategic Plan 2014-17, Annual Targets, 2014-15**

- Learning and development activities were undertaken with elected members of the Council but these need to be embedded as a regular update
- The Chair has established regular meetings with the Chief Officers of the Council
- Membership of the Board was reviewed and revised to ensure compliance with the Care Act 2014
- 6 monthly Development Sessions have been established to ensure that members of the Board are working together to scrutinize and challenge local arrangements for safeguarding adults
- The infrastructure of the Board was reviewed and strengthened
- Procedures for conducting Safeguarding Adult reviews (SAR) were reviewed and published on the website

The strategic plan 2014-17 will be reviewed and consulted upon to ensure compliance with the requirements of the Care Act 2014.

## **Cumbria Safeguarding Adults Board Budget**

The budget for the Board is provided by Cumbria County Council and through contributions from partner organisations.

The budget funds the cost of the Independent Chair of the Board, administrative support, Workforce Learning and Development support and provision. Cumbria Police and the Fire and Rescue Service host venues for Executive and Operational Group meetings and Cumbria County Council hosts web-pages for the Board on the County Council website.

## Members of the Cumbria Safeguarding Adults Board Members 2014-15

M Evans	Independent Chair
Beth Fureaux, Councillor	Cumbria County Council
M Angel	NHS England
R Wagstaff	Public Health, Cumbria County Council
I Cooper	Safeguarding Adults Service Manager, Adult and Local Services
S Ward, Director of Operations	Cumbria Probation
Mary Moore, Director of Nursing	Morecambe Bay University Hospitals Trust
S Munro	Cumbria Partnership Foundation Trust
L Mason	County Manager, Care Governance, Adult and Local Services
R Parry	Director, Adult and Local Services
M Clement	Safer Cumbria
L Carr	Cumbria Clinical Commissioning Group
C Platton	North Cumbria University Hospitals Trust
Sheila Gregory	Director, Mencap
Mike Forrester	Cumbria Constabulary
S Woodford	GP, Safeguarding Lead, NHS Cumbria

Administrative support provided by J Yeung, Health and Care Services

## Operational Group

Val Ayre	Community Safety Officer	valerie.ayre@cumbria.gov.uk
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Dave Eldon		dave.eldon@cumbria.nhs.uk
David Adamson	MBUHT	daveadamson@live.com
David Blacklock	People First/Healthwatch	david@peoplefirstcumbria.co.uk
Doug Marshall	Cumbria Police	douglas.marshall@cumbria.police.uk
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Euan Bell	Manager Stobars Hall	stobarshall@thefranklyngroup.com
Evelyn Bitcon	Voluntary /third Sector	evelynbitcon@btinternet.com
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Jonathan Comber	Cumbria Care	jonathan.comber@cumbria.gov.uk
Keith Murphy	Drug & Alcohol Services	Keith.Murphy@gmw.nhs.uk
Laura Carr	Head Nurse & Lead for Quality, CCG	Laura.carr@cumbriaCCG.nhs.uk
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Noleen Devlin	CCG	noleen.devlin@cumbriaCCG.nhs.uk
Sara Munro (Chair)	Cumbria NHS	sara.munro@cumbria.nhs.uk
Shelia Gregory	Director Mencap	Sheila.Gregory@carlisle Mencap.co.uk
Kelly Short	MBHT	kelly.short@mbht.nhs.uk

## Terms of Reference revised 2015

### Links with other Public Protection bodies

Members of the Board may also be members of other public protection bodies such as:

- Safer Cumbria: Cumbria Domestic and Sexual Violence Partnership
- Local Safeguarding Childrens Board (LSCB)
- Crime and Disorder Reduction Partnerships (CDRP)
- Multi-Agency Public Protection Arrangements (MAPPA)
- Multi-Agency Risk Assessment Conferences. (MARAC)