

Safeguarding Adults Collection (SAC)

Section 1 - Starters in Period

Section 3 - Capacity, Support and Advocacy

2015-16 Collection Proforma version 1 (released March 2015) Section 2 - Completers in Period

Section 4 - Making Safeguarding Personal - Voluntary Section 5 - Serious Cases Reviews completed in Period

Colour coding for this return

Green headings relate to mandatory data items
Grey headings relate to voluntary data items

Revised Version

Section 1: Demographic Tables

The data in these tables should only relate to concerns or enquiries which were raised or commenced during the reporting year.

Only one entry per person is permitted in tables SG1a, SG1b and SG1c

SHOULD BE PER ROW

Multiple entries per person are permitted in tables SG1d and SG1e

Only one entry per concern or per enquiry is permitted in table SG1f

Table SG1a	Age Band						
Counts of Individuals by Age Band	19-64	65-74	75-84	85-94	95+	Not Known	
	451	183	360	379	331	0	1704
Individuals Involved In Safeguarding Concerns	324	129	276	293	240	0	1262
Individuals Involved In Section 42 Safeguarding Enquiries	107	47	76	71	82	0	383
Individuals Involved In Other Safeguarding Enquiries	20	7	8	15	9	0	59
							442
							1704

Table SG1b	Gender			
Counts of Individuals by Gender	Male	Female	Not Known	
	613	1091	0	1704
Individuals Involved In Safeguarding Concerns	459	803	0	1262
Individuals Involved In Section 42 Safeguarding Enquiries	133	250	0	383
Individuals Involved In Other Safeguarding Enquiries	21	38	0	59

Comparison

New 15/16 - Previously only counted volume of episodes.					
		14/15	15/16	Difference	
Concerns	People	1709	1262	-447	⬇️
Enquiries	People	478	442	-36	⬇️
		2187	1704		483

Comparing Like for Like with 14/15

		14/15	15/16	Difference	
Concerns	Cases	1602	1731	129	⬆️
Enquiries	Cases	655	595	-60	⬇️
		2257	2326		

Table SG1c	Ethnicity							
Counts of Individuals by Ethnicity	White	Mixed / Multiple	Asian / Asian British	Black / African / Caribbean / Black British	Other Ethnic Group	Refused	Undeclared / Not Known	
	1564	1	1	2	2	1	133	1704
Individuals Involved In Safeguarding Concerns	1152	1	0	0	1	1	107	1262
Individuals Involved In Section 42 Safeguarding Enquiries	358	0	1	1	1	0	22	383
Individuals Involved In Other Safeguarding Enquiries	54	0	0	1	0	0	4	59

Table SG1d	Primary Support Reason							
Counts of Individuals by Primary Support Reasons	Physical Support	Sensory Support	Support with Memory & Cognition	Learning Disability Support	Mental Health Support	Social Support	No Support Reason	Not Known
	747	11	290	253	201	53	149	0
Individuals Involved In Safeguarding Concerns	551	5	241	167	143	42	113	0
Individuals Involved In Section 42 Safeguarding Enquiries	182	5	46	82	54	11	3	0
Individuals Involved In Other Safeguarding Enquiries	14	1	3	4	4	0	33	0

Table SG1e	Sub-class	Individuals Involved In Safeguarding Concerns	Individuals Involved In Section 42 Safeguarding Enquiries	Individuals Involved In Other Safeguarding Enquiries	
Long Term Health condition - Physical	Chronic Obstructive Pulmonary Disease	4	3	0	7
Long Term Health condition - Physical	Cancer	7	1	0	8
Long Term Health condition - Physical	Acquired Physical Injury	2	1	0	3
Long Term Health condition - Physical	HIV / AIDS	0	0	0	0
Long Term Health condition - Physical	Other	66	19	1	86
Long Term Health condition - Neurological	Stroke	4	2	0	6
Long Term Health condition - Neurological	Parkinson's	5	2	0	7
Long Term Health condition - Neurological	Motor Neurone Disease	0	0	0	0
Long Term Health condition - Neurological	Acquired Brain Injury	4	1	0	5
Long Term Health condition - Neurological	Other	14	8	1	23
Sensory Impairment	Visually impaired	2	2	0	4
Sensory Impairment	Hearing impaired	1	1	0	2
Sensory Impairment	Other	0	1	0	1
Learning, Developmental or Intellectual Disability	Learning Disability	28	8	1	37
Learning, Developmental or Intellectual Disability	Autism (excluding Asperger's Syndrome / High Functioning Autism)	5	2	0	7
Learning, Developmental or Intellectual Disability	Asperger's Syndrome/ High Functioning Autism	1	0	0	1
Learning, Developmental or Intellectual Disability	Other	1	1	0	2
Mental Health Condition	Dementia	61	19	3	83
Mental Health Condition	Other	23	6	0	29
No Relevant Long-Term Reported Health Conditions	None	1034	306	53	1393
		1262	383	59	1704

Please note this table collects counts of cases not counts of individuals

Table SG1f	
Counts of Safeguarding Activity	Count
Total Number of Safeguarding Concerns	2328
Total Number of Section 42 Safeguarding Enquiries	522
Total Number of Other Safeguarding Enquiries	73

Section 2: Case Detail Tables

[Returning to Case Volume Count](#)
Return Based on Enquiries only.

All information recorded in these tables should be about cases that concluded during the reporting year.

Multiple entries per enquiry are permitted in all of these tables

Some type of risk categories overlap with each other, please record all types of abuse that apply to each enquiry

Concerns	Cases	1522	1521	-1	↓
Enquiries	Cases	636	566	-70	↓
		2158	2087		

* Beware impact of monitoring long term open cases as they may affect this result, if closure dates within the 15/16 period have been used when earlier dates should have been applied.

Table SG2a Counts of Enquiries by Type and Source of Risk	Concluded Section 42 Enquiries			Other Concluded Enquiries				
	SOURCE OF RISK			SOURCE OF RISK				
	Social Care Support	Other - Known to Individual	Other - Unknown to Individual	Social Care Support	Other - Known to Individual	Other - Unknown to Individual		
Physical Abuse	43	168	98	11	123	51	494	34%
Sexual Abuse	2	53	21	0	4	3	83	6%
Psychological Abuse	44	84	34	7	66	17	252	18%
Financial or Material Abuse	4	62	42	2	33	25	168	12%
Discriminatory Abuse	4	2	5	0	0	3	14	1%
Organisational Abuse	21	3	11	3	0	18	56	4%
Neglect and Acts of Omission	67	22	167	9	20	64	369	26%
Domestic Abuse	0	25	2	0	28	0	56	1436
Sexual Exploitation	0	0	0	0	0	0	0	
Modern Slavery	0	0	0	0	0	0	0	
Self-Neglect		9			0		9	

**As persons at risk are possibly subject to more than one abuse type the total would be different to the number of people or cases.

14/15	799	DIFFERENCE	
305	38%	189	↗
32	4%	51	↗
84	11%	168	↗
111	14%	57	↗
3	0%	11	↗
45	6%	11	↗
219	27%	150	↗
Not in 14/15			
Not in 14/15			See dashboard for full listing of abuse types recorded in Cumbria.
Not in 14/15			
Not in 14/15			

Table SG2b Counts of Enquiries by Location and Source of Risk	Concluded Section 42 Enquiries			Other Concluded Enquiries				
	SOURCE OF RISK			SOURCE OF RISK				
	Social Care Support	Other - Known to Individual	Other - Unknown to Individual	Social Care Support	Other - Known to Individual	Other - Unknown to Individual		
Own Home	19	53	50	11	39	23	195	
Community Service	1	6	6	0	1	0	14	
Care Home	35	60	89	7	21	42	254	
Hospital	1	4	7	0	0	3	15	
Other	4	34	22	0	23	5	88	
							566	

14/15	DIFFERENCE	
162	33	↗ 20%
16	-2	↘ -13%
305	-51	↘ -17%
23	-8	↘ -35%
137	-49	↘ -36%

Table SG2c Counts of Enquiries by Action, Result and Source of Risk	Concluded Section 42 Enquiries			Other Concluded Enquiries				
	SOURCE OF RISK			SOURCE OF RISK				
	Social Care Support	Other - Known to Individual	Other - Unknown to Individual	Social Care Support	Other - Known to Individual	Other - Unknown to Individual		
No Action Taken	14	60	82	7	36	36	235	
Action taken and risk remains	0	7	7	0	7	0	21	
Action taken and risk reduced	24	66	60	6	29	22	207	
Action taken and risk removed	22	24	25	5	12	15	103	
							566	

14/15	DIFFERENCE	
221	14	↗
34	-13	↘
220	-13	↘
158	-55	↘

Section 3: Mental Capacity Tables

All information recorded in these tables should be about cases that concluded during the reporting year.

There should only be one entry per enquiry in the first four rows of each table.

The sum of the values in the first four rows of each table should represent the number of enquiries that concluded during the reporting year

Table SG3a Mental Capacity Table for Concluded Section 42 Safeguarding Enquiries	Age Group							
	18-64	65-74	75-84	85-94	95+	Not Known		
For each enquiry, was the adult at risk lacking capacity to make decisions related to the safeguarding enquiry?								
Yes	28	18	43	59	61	0	209	
No	34	8	41	50	49	0	182	
Don't know	0	0	0	0	0	0	0	
Not recorded	0	0	0	0	0	0	0	
Of the enquiries recorded as Yes in row 1 of this table, in how many of these cases was support provided by	25	16	33	52	49	0	391	175

Table SG3b Mental Capacity Table for Other Concluded Safeguarding Enquiries	Age Group							
	18-64	65-74	75-84	85-94	95+	Not Known		
For each enquiry, was the adult at risk lacking capacity to make decisions related to the safeguarding enquiry?								
Yes	15	6	22	20	23	0	86	
No	19	9	16	17	28	0	89	
Don't know	0	0	0	0	0	0	0	
Not recorded	0	0	0	0	0	0	0	
Of the enquiries recorded as Yes in row 1 of this table, in how many of these cases was support provided by	11	6	17	16	21	0	175	71

Combined	14/15	15/16	DIFFERENCE	
Yes	357	295	-62	↘
No	272	271	-1	↘
Don't Know/Not Recorded	7	0	-7	↘
	636	566	-70	↘
	238	246	8	↗

Section 4: Making Safeguarding Personal (MSP) Tables

All information recorded in these tables should be about cases that concluded during the reporting year.

There should only be one entry per enquiry in the first four rows of each table.

The sum of the values in the first five rows of each table should represent the number of enquiries that concluded during the reporting year

Table SG4a MSP Table for Concluded Section 42 Safeguarding Enquiries	Age Group					
	18-64	65-74	75-84	85-94	95+	Not Known
For each enquiry, was the individual or individual's representative asked what their desired outcomes were						
Yes they were asked and outcomes were expressed						
Yes they were asked but no outcomes were expressed						

VOLUNTARY
NEW 15/16 - See findings on pages Four and Five#

No						
Don't know						
Not recorded						
Of the enquiries recorded as Yes in row 1 of this table, in how many of these cases were the desired outcomes	18-64	65-74	75-84	85-94	95+	Not Known
Fully Achieved						
Partially Achieved						
Not Achieved						

Table SG4b						
MSP Table for Other Concluded Safeguarding Enquiries						
Age Group						
For each enquiry, was the individual or individual's representative asked what their desired outcomes	18-64	65-74	75-84	85-94	95+	Not Known
Yes they were asked and outcomes were expressed						
Yes they were asked but no outcomes were expressed						
No						
Don't know						
Not recorded						
Of the enquiries recorded as Yes in row 1 of this table, in how many of these cases were the desired outcomes	18-64	65-74	75-84	85-94	95+	Not Known
Fully Achieved						
Partially Achieved						
Not Achieved						

Section 5: Safeguarding Adult Review (SAR) Tables

The information in these tables should only include SARs that took place during the reporting year

Table SG5a		
Counts of Safeguarding Adult Reviews	Count	14/15
Count of SARs where one or more individual died	1	0
Count of SARs where no individuals died	0	

Table SG5b						
Age Group						
Counts of Individuals Involved in Safeguarding Adult Reviews	18-64	65-74	75-84	85-94	95+	Not Known
Count of individuals involved in SARs who suffered serious harm and died	0	0	1	0	0	0
Count of individuals involved in SARs who suffered serious harm and survived	0	0	0	0	0	0